

Docket No.: 25526-1B
Customer No.: 24256

CERTIFICATE OF MAILING

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PATENT

Bonnie A. Seume

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Gregory J. Kapust :
Charles Cole Nofsinger
Serial No.: 09/730,353 : Group Art: 2641
Confirmation No.: 8614

Filing Date: December 5, 2000 :

For: **Methods For Peer To Peer Sharing Of Voice Enabled Document
Templates**

**RESPONSE CORRECTING NOTICE TO FILE MISSING PARTS AND REQUEST
FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir/Madam:

Applicants filed the above-referenced application with the U.S. Patent and Trademark Office on December 5, 2000. This application was co-filed with Application Serial No. 09/730,306 Methods and Systems for generating Documents From Voice Interactions, Confirmation No. 6886. As evidenced from the attached filing receipt, the Patent Office has inadvertently mixed these two applications and confused the files. Enclosed herewith is the original post card receipt indicating that the correct Serial No. of 09/730,353 belongs to the application entitled Methods for Peer to Peer Sharing of Voice Enabled Document Templates and not Methods and Systems for Generating Documents from Voice Interactions as the filing receipt received indicates.

Moreover, it appears since the Office mixed the two applications, the notice to file missing parts indicates the oath was not properly filed, although as evidenced from the attached materials it clearly was filed properly, and is again provided for the Office's reference. However,

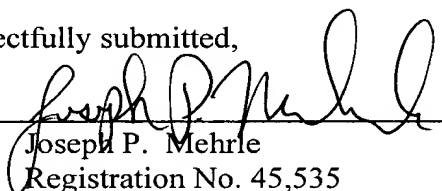
Applicant has corrected the margins on the originally submitted drawings as required by the new rules.

Please resolve the filing receipt indicating that Serial No. 09/730,353 has an attorney docket No. of 25526-1B, with dual inventors of Gregory J. Kapust and Charles Cole Nofsinger and a title of Methods for Peer to Peer Sharing of Voice Enabled Document Templates, with 6 drawings, and 20 claims, and reissue a corrected filing receipt. Furthermore, accept Applicant's corrected drawing sheets. All previously filed material is enclosed herewith for the Office's review, along with the Notice to file Missing Parts which belongs with the co-filed application have the Serial No. 09/730,306. A separate response will address the correction of the Application with Serial No. 09/730,306 Methods and Systems for Generating Documents from Voice Interactions.

Applicant sincerely believes that this is a complete response to the Office's notice to filing missing parts and corrects all objections pending and respectfully request a corrected filing receipt indicating the same.

Respectfully submitted,

By


Joseph P. Mehrle

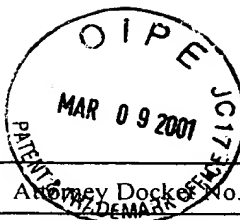
Registration No. 45,535

Attorney for Applicants
DINSMORE & SHOHL

1900 Chemed Center
255 East Fifth Street
Cincinnati, Ohio 45202
(513) 977-8176

654669

Please type a plus sign (+) inside this box ☐



#2

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	25526-1B	Total Pages	38
	First Named Inventor: Gregory J. Kapust et al.			
	Title: METHODS FOR PEER TO PEER SHARING OF VOICE ENABLED DOCUMENT TEMPLATES			
	Express Mail Label No.	EL378854699US		

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	Assistant Commissioner for Patents ADDRESSED TO: Box Patent Application Washington, DC 20231
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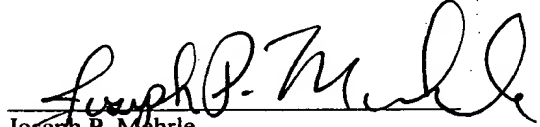
1. <input checked="" type="checkbox"/> Utility Patent Application Transmittal [Total Pages: 2] 2. <input checked="" type="checkbox"/> Specification, Claims and Abstract [Total Pages: 30] 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets: 6] 4. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy)(2 pages) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 21 completed) [Note Box 5 below] i. <input type="checkbox"/> <u>DELETION OF INVENTORS</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer readable copy (Diskette) b. <input type="checkbox"/> Paper copy (identical to computer copy, Total Pages: 13) c. <input type="checkbox"/> Statement verifying identity of above copies
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Accompanying Application Parts 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS/PTO-1449) <input checked="" type="checkbox"/> Copies of Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 14. <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> Statement(s) filed in prior application, Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) 16. <input type="checkbox"/> Other:

17. FEE CALCULATION					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	20 -20 =	0	x \$18 =	\$00.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3 -3 =	0	x \$80 =	\$00.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	
				BASIC FEE (37 CFR 1.16(a))	710.00
				Total of above Calculations =	\$00.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.19, 1.27, 1.28)				\$355.00
	Assignment Recordation Fee				\$40.00
	TOTAL =				\$395.00

18. <input type="checkbox"/> Please charge Deposit Account No. 04-1133 in the amount of \$395.00. 19. <input checked="" type="checkbox"/> A check in the amount of \$395.00 is enclosed. 20. <input type="checkbox"/> The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 04-1133: a. <input type="checkbox"/> Fees required under 37 CFR 1.16 b. <input type="checkbox"/> Fees required under 37 CFR 1.17. c. <input type="checkbox"/> Fees required under 37 CFR 1.18.					
21. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)					
22. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label <input checked="" type="checkbox"/> Correspondence Address Below					
Name	Joseph P. Mehrle				
	Dinsmore & Shohl LLP				
Address	1900 Chemed Center				
	255 East Fifth Street				
City	Cincinnati	State	OH	Zip Code	45202
Country	USA	Telephone	513-977-8176	Fax	513-977-8141

Respectfully submitted,


Joseph P. Mehrle
Registration No. 45,535
Attorney for Applicant
Dinsmore & Shohl LLP
1900 Chemed Center
255 E. Fifth Street
Cincinnati, OH 45202
(513) 977-8176

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25526

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VENDOR: Assistant Commissioner for Patents; INVOICE#:

\$395.00

027171; DATE: 12/5/00

Gregory J. Kapust et al.; METHODS FOR PEER TO PEER
SHARING OF VOICE ENABLED DOCUMENT TEMPLATES;
Utility Patent Application Transmittal, Patent Application,
Declaration and P





Ser No.
Applicant(s)
Title

Description of
Documents Filed:

To Be Assigned
Gregory J. Kapust et al.
**METHODS FOR PEER TO PEER SHARING OF
VOICE ENABLED DOCUMENT TEMPLATES**

Utility Patent Application Transmittal, Patent Application,
Declaration and Power of Attorney, Information Disclosure
Statement, PTO Form-1449, References, Recordation Form
Cover Sheet, Assignment, Verified Statement Claiming Small
Entity Status (37 CFR 1.9(f) and 1.27(b)) - Independent
Individual; \$395.00 Check, Postcard

Our Ref: 25526-1B

Customer Number: 24256

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EL378854699US

Ser No.
Applicant(s)
Title

Description of
Documents Filed:

To Be Assigned
Gregory J. Kapust et al.
**METHODS FOR PEER TO PEER SHARING OF
VOICE ENABLED DOCUMENT TEMPLATES**

Utility Patent Application Transmittal, Patent Application,
Declaration and Power of Attorney, Information Disclosure
Statement, PTO Form-1449, References, Recordation Form
Cover Sheet, Assignment, Verified Statement Claiming Small
Entity Status (37 CFR 1.9(f) and 1.27(b)) - Independent
Individual; \$395.00 Check, Postcard

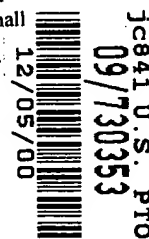
Our Ref: 25526-1B

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EL378854699US

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Date in MAR 09 2001	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 1.80
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<input type="checkbox"/> No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials 1121	Total Postage & Fees \$ 1.80

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FROM: (PLEASE PRINT)

JOSEPH P. MEHRLE, ESQ.
WASHINGTON, DC 20541
255 L ST
CINCINNATI

TO: (PLEASE PRINT)

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR
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WASHINGTON, DC 20231

Our Ref. 25526-1B

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Joseph P. Mehrle
Dinsmore & Shohl LLP
1900 Chemed Center
255 East Fifth Street
Cincinnati, OH 45202



FORMALITIES LETTER



OC000000005716569

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$18.
 - **\$18 for 2 total claims over 20.**
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 83.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Sable Bokelo

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]

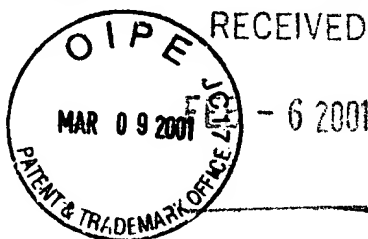


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/730,353	12/05/2000	2641	355	25526-1A	7	22	3

Joseph P. Mehrle
Dinsmore & Shohl LLP
1900 Chemed Center
255 East Fifth Street
Cincinnati, OH 45202



CONFIRMATION NO. 8614

FILING RECEIPT



OC00000005716568

Date Mailed: 01/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles Cole Nofsinger, Residence Not Provided;

Date Received: 2-6-01
Action:
Due Date:
Docketed By: LMS
I.D. Number: 25526-1B

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods and systems for generating documents from voice interactions

Preliminary Class

704

Data entry by : TERFA, SEBLE

Team : OIPE

Date: 01/29/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not-retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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